

# Weekly Report

11/30/2015-12/06/2015

## Research

In order to complete the final project(face recognition) of computer vision, I read some papers and articles about two methods: Eigenfaces and Fisherfaces.

### Eigenfaces based on PCA

The Eigenfaces method use PCA to project the image space to a low dimensional feature space. Given a set of  $N$  sample images  $\{x_1, x_2, \dots, x_N\}, x_i \in \mathbb{R}^n, n = \text{height} * \text{width}$ . If original  $n$ -dimensional images are projected into an  $m$ -dimensional space, the scatter across all images are supposed to be max. The feature vector  $y_k \in \mathbb{R}^m$  of image  $x_k$  is defined as

$$y_k = W^T x_k$$

where  $W^T \in \mathbb{R}^{n \times m}$  is a projection matrix. And the total scatter matrix  $S_T$  is defined as

$$S_T = \sum_{k=1}^N (x_k - \mu)(x_k - \mu)^T$$

Then, the scatter matrix of feature vectors  $\{y_1, y_2, \dots, y_N\}$  is  $W^T S_T W$ . PCA always chooses a projection matrix  $W_{opt}$  to maximize the scatter matrix of projected images.

$$\begin{aligned} W_{opt} &= \arg \max |W^T S_T W| \\ &= [w_1 w_2 \dots w_m] \end{aligned}$$

where  $\{w_i | i = 1, 2, \dots, m\}$  is the set of  $n$ -dimensional eigenvectors of  $S_T$  corresponding to the  $m$  largest eigenvalues  $\{\lambda_i | i = 1, 2, \dots, m\}$ . We can solve this problem by

$$S_T w_i = \lambda_i w_i$$

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## Fisherfaces based on FLD

Since the learning set is labeled, dimensionality reduction method should take label information into consideration. The distance between images with same label is suppose to be small. Also, the distance between different labels should be large.

Given a set of  $N$  sample images  $\{x_1, x_2, \dots, x_N\}, x_i \in \mathbb{R}^n$ . And each image belongs to one of  $c$  classes  $\{X_1, X_2, \dots, X_c\}$ . The between-class scatter matrix is defined to evaluate the distance between different classes:

$$S_B = \sum_{i=1}^c N_i (\mu_i - \mu)(\mu_i - \mu)^T$$

And the within-class scatter matrix is defined to evaluate the distance between images within same class:

$$S_W = \sum_{i=1}^c \sum_{x_k \in X_i} (x_k - \mu_i)(x_k - \mu_i)^T$$

where  $\mu_i$  is the mean image of class  $X_i$ ,  $N_i$  is the number of samples in class  $X_i$ , and  $\mu$  is the mean image of all samples.

If  $S_W$  is nonsingular, the optimal projection  $W_{opt}$  is chosen as the matrix with orthonormal columns which maximizes the ratio of the determinant of the between-class scatter matrix of the projected samples to the determinant of the within-class scatter matrix of the projected samples.

$$\begin{aligned} W_{opt} &= \arg \max_W \frac{|W^T S_B W|}{|W^T S_W W|} \\ &= [w_1 w_2 \dots w_m] \end{aligned}$$

We can solve this problem by

$$\begin{aligned} S_B w_i &= \lambda_i S_W w_i \\ S_W^{-1} S_B w_i &= \lambda_i w_i \end{aligned}$$

However,  $S_W$  is always singular according to its definition. This problem is avoided by projecting the image set to a lower dimensional space resulting within-class scatter matrix  $S_W$  nonsingular. Formally, images are projected into a  $N$ - $c$  dimensional space using PCA and projected into  $c$ -1 dimensional space using Fisher's linear discriminant(FLD).

$$\begin{aligned} W_{opt}^T &= W_{fld}^T W_{pca}^T W_{pca} = \arg \max_W |W^T S_T W| \\ W_{fld} &= \arg \max_W \frac{|W^T W_{pca}^T S_B W_{pca} W|}{|W^T W_{pca}^T S_W W_{pca} W|} \end{aligned}$$

## Plan for next week

- Complete face recognition program.